

2018 3

2018 9 30

§1

2018 10 24

2018 7 1 9 30

§2

2.1

| | | |
|--|---------------|-------|
| | ETF | |
| | 004593 | |
| | | |
| | 2017 6 13 | |
| | 13,380,883.88 | |
| | ETF | |
| | ETF | ETF |
| | 0.35% | 4% |
| | 95% × | +5% × |

| | | |
|--|---------------|------------|
| | ETF | |
| | | |
| | | |
| | ETF A | ETF C |
| | 004593 | 005692 |
| | 13,259,648.68 | 121,235.20 |

2.1.1

| | |
|--|-----------|
| | |
| | 159953 |
| | |
| | 2017 6 13 |
| | |
| | 2017 7 14 |
| | |
| | |

2.1.2

| | |
|--|-----|
| | |
| | 95% |
| | |

| | |
|--|--|
| | |
|--|--|

§

3.1

| | (2018 7 1 -2018 9 30) | |
|----|------------------------|-----------|
| | ETF A | ETF C |
| 1. | -9,571.14 | -175.25 |
| 2. | -656,868.78 | -9,581.56 |
| 3. | -0.0492 | -0.0610 |
| 4. | 9,870,424.51 | 90,096.48 |
| 5. | 0.7444 | 0.7432 |

1

2

3.2

3.2.1

1 ETF A

| | | | | | | |
|--|--------|-------|--------|-------|-------|--------|
| | | | | | | |
| | -6.19% | 1.14% | -6.26% | 1.18% | 0.07% | -0.04% |

2 ETF C

| | | | | | | |
|--|--|--|--|--|--|--|
| | | | | | | |
|--|--|--|--|--|--|--|

| | | | | | | |
|--|--------|-------|--------|-------|-------|--------|
| | | | | | | |
| | -6.23% | 1.14% | -6.26% | 1.18% | 0.03% | -0.04% |

3.2.2

(2017 6 13 2018 9 30)

1 ETF A



2 ETF C



§4

4.1

| | | | | | |
|--|-----|------------|---|----|-----------------|
| | | | | | |
| | ETF | | | | |
| | ETF | 2017-06-13 | - | 19 | 300 |
| | ETF | | | | 2011 6 |
| | | | | | 3 2016 7 28 |
| | | | | | 300 |
| | | | | | 2011 6 9 2016 7 |
| | | | | | 28 100 |
| | | | | | 2012 |

| | | | | | |
|--|-----|--|--|--|-------------------------|
| | ETF | | | | 5 7 2016 7 28 100 |
| | | | | | 2014 10 30 2016 7 28 |
| | | | | | 2015 7 23 2016 7 28 |
| | ETF | | | | 2014 6 3 |
| | ETF | | | | 2014 12 1 |
| | ETF | | | | 2015 1 8 |
| | | | | | 2015 1 29 |
| | | | | | 2015 3 23 |
| | | | | | 2015 4 15 |
| | ETF | | | | 2015 5 6 |
| | | | | | 2015 6 25 |
| | | | | | 2015 6 25 |
| | | | | | 2015 7 1 |
| | | | | | 2015 7 9 |

| | | | | | |
|--|--|--|--|--|-----------|
| | | | | | 2015 7 9 |
| | | | | | 2015 8 18 |
| | | | | | 2015 8 18 |
| | | | | | 2017 |
| | | | | | 6 13 |
| | | | | | 2017 6 13 |

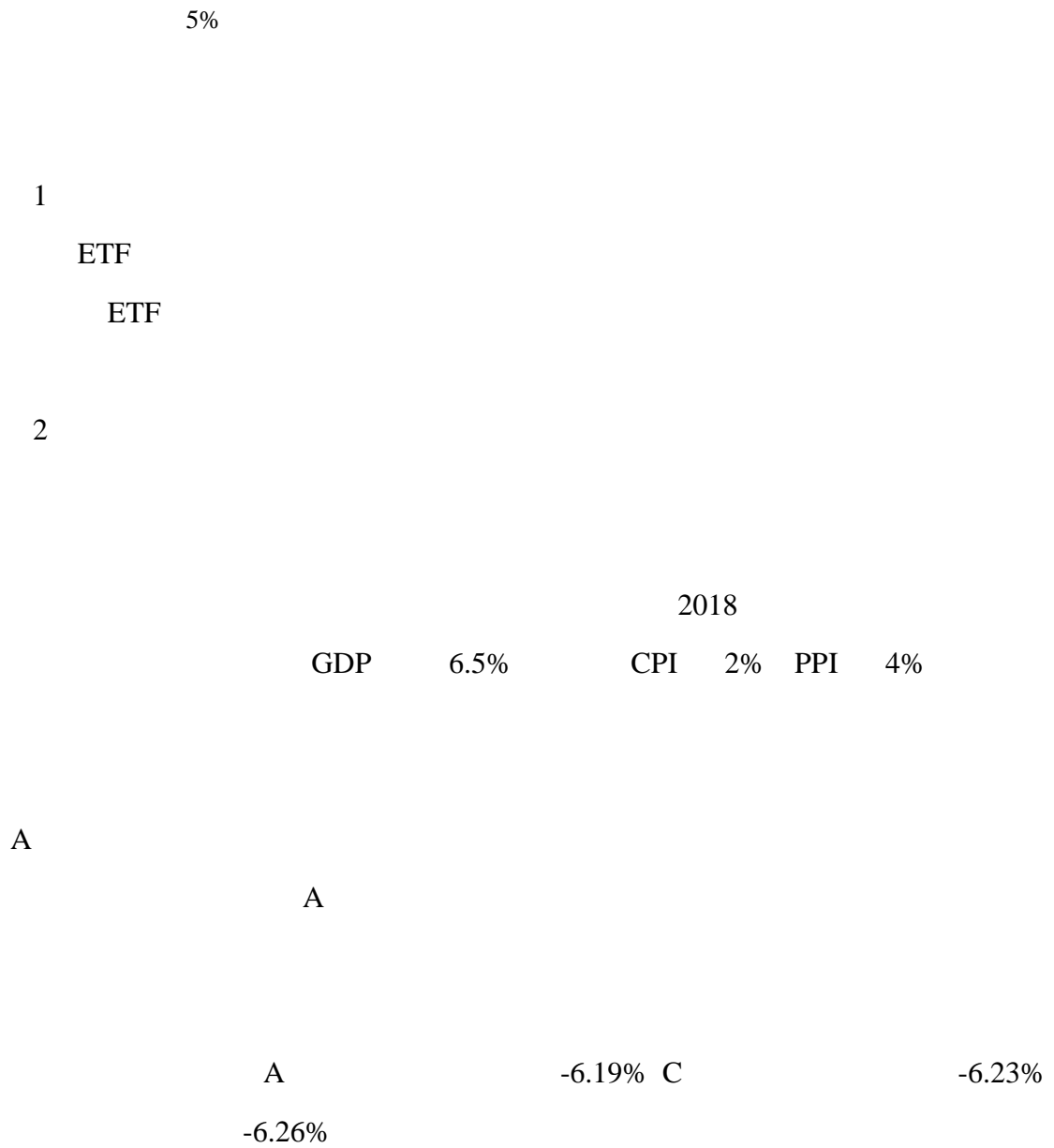
2.

4.2

4.3

4.3.1

4.3.2



§

5.1

| | | () | (%) |
|---|--|---------------|--------|
| 1 | | 15,228.00 | 0.15 |
| | | 15,228.00 | 0.15 |
| 2 | | 9,367,231.09 | 93.34 |
| 3 | | - | - |
| | | - | - |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| | | - | - |
| 7 | | 652,257.51 | 6.50 |
| 8 | | 610.43 | 0.01 |
| 9 | | 10,035,327.03 | 100.00 |

5.2

| | | | | | | | % |
|---|--|--|--|--|--|--------------|-------|
| 1 | | | | | | 9,367,231.09 | 94.04 |

5.3

5.3.1

| | | | |
|---|--|-----------|------|
| | | | |
| A | | - | - |
| B | | - | - |
| C | | 15,228.00 | 0.15 |
| D | | - | - |
| E | | - | - |
| F | | - | - |
| G | | - | - |
| H | | - | - |
| I | | - | - |
| J | | - | - |
| K | | - | - |
| L | | - | - |
| M | | - | - |
| N | | - | - |
| O | | - | - |
| P | | - | - |
| Q | | - | - |
| R | | - | - |
| S | | - | - |
| | | 15,228.00 | 0.15 |

5.4

| | | | | | |
|---|--------|--|-------|-----------|------|
| | | | () | () | () |
| 1 | 000509 | | 5,400 | 15,228.00 | 0.15 |

5.5

5.6

5.7

5.8

5.9

5.10

1

2

5.11

1

2

5.12

5.12.1

5.12.2

5.12.3

| | | |
|---|---|-----|
| | | () |
| 1 |  | |

| | | |
|---|--|--------|
| 6 | | - |
| 7 | | - |
| 8 | | - |
| 9 | | 610.43 |

5.12.4

5.12.5

§6

| | ETF A | ETF C |
|--|---------------|------------|
| | 13,366,618.82 | 92,156.56 |
| | 213,182.22 | 313,437.90 |
| | 320,152.36 | 284,359.26 |
| | - | - |
| | 13,259,648.68 | 121,235.20 |

§7

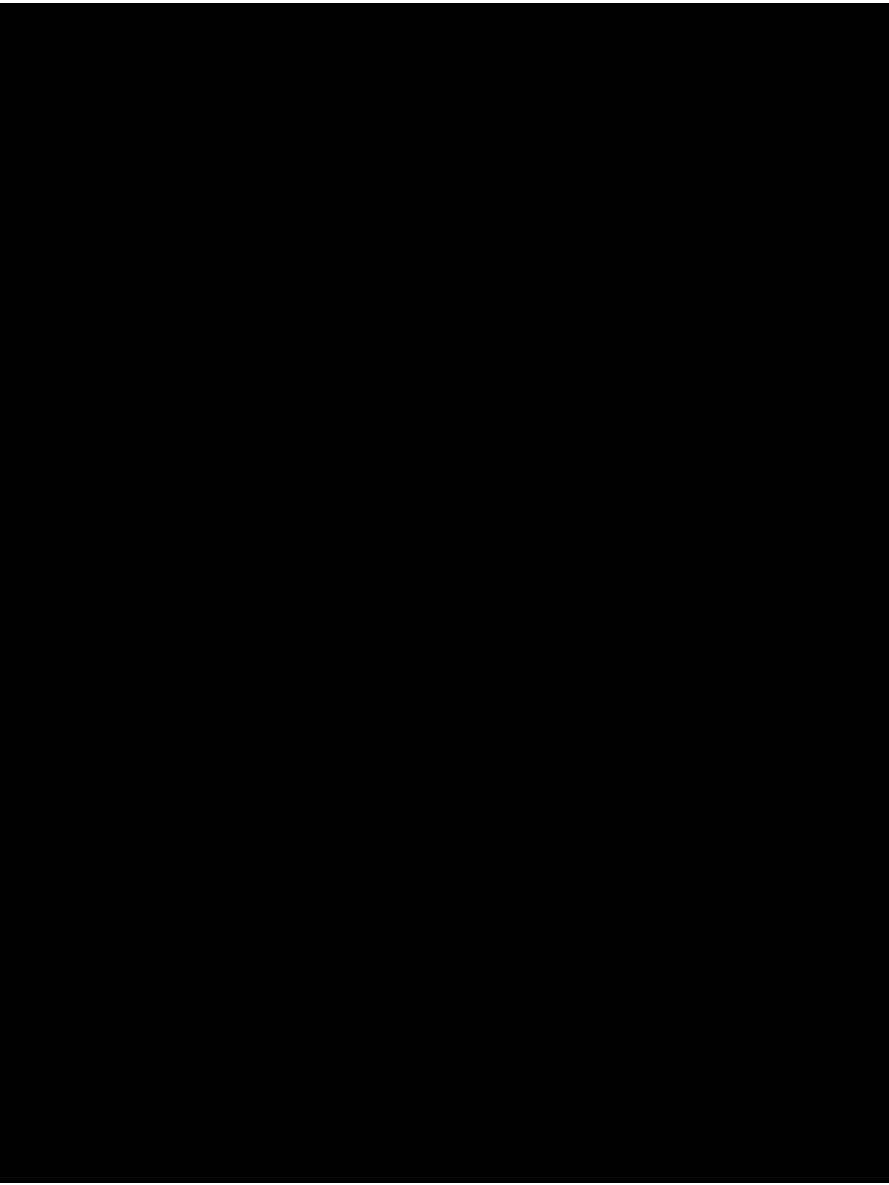
7.1

| | |
|---|---------------|
| | 10,004,000.40 |
| / | - |
| / | - |
| | 10,004,000.40 |
| % | 74.76 |

7.2

§

| | | | | | |
|--|-------------------|--------|-------------------|--------|---|
| | | | | | |
| | 10,004,00 0.40 | 74.76% | 10,004,00 0.40 | 74.76% | |
| | - | - | - | - | - |
| | - | - | - | - | - |
| | - | - | - | - | - |
| | - | - | - | - | - |
| | 10,004,00 0.40 | 74.76% | 10,004,00 0.40 | 74.76% | |



10.2

1 31-33

10.3

1. 8:30-11:30 13:30-17:00

2. <http://www.gffunds.com.cn>