

2018 3

2018 9 30

§1

2018 10 24

2018 7 1 9 30

§2

2.1

	ETF	
	003017	
	2016 9 26	
	207,781,378.70	
	ETF	
	ETF	ETF
	0.35%	4%
	95% ×	+5% ×

	ETF	
	ETF A	ETF C
	003017	005693
	157,664,740.49	50,116,638.21

2.1.1

	512680
	2016 8 30
	2016 10 14

2.1.2

	80% 90%

--	--

§

3.1

	(2018 7 1 -2018 9 30)	
	ETF A	ETF C
1.	-6,725,132.44	-1,743,635.72
2.	2,542,640.30	703,422.44
3.	0.0168	0.0176
4.	110,472,634.97	35,185,827.17
5.	0.7007	0.7021

1

2

3.2

3.2.1

1 ETF A

	2.77%	1.68%	2.65%	1.74%	0.12%	-0.06%

2 ETF C

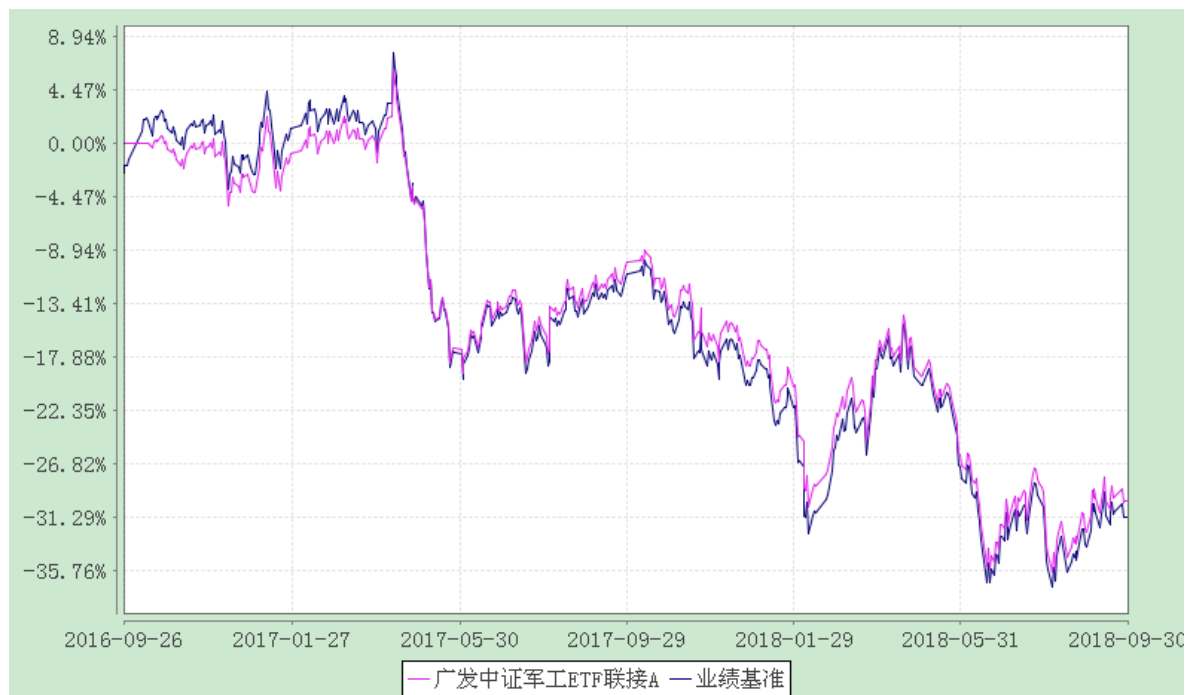
--	--	--	--	--	--	--

	2.80%	1.68%	2.65%	1.74%	0.15%	-0.06%

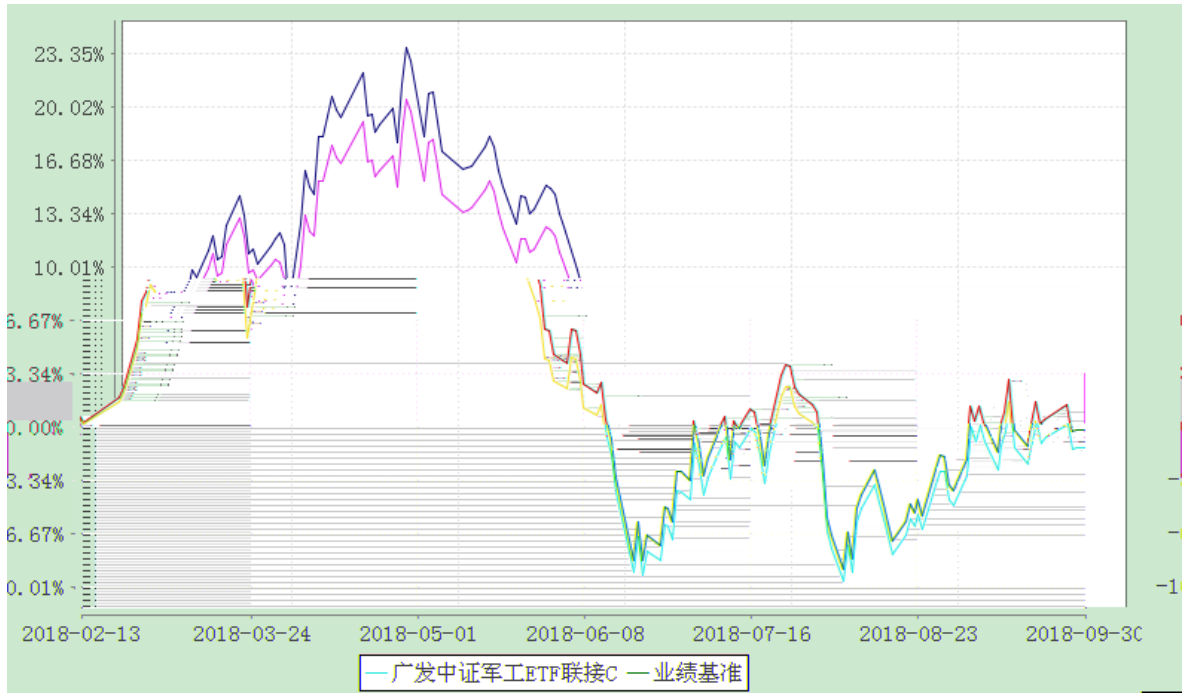
3.2.2

(2016 9 26 2018 9 30)

1 ETF A



2 ETF C



§4

4.1

	300ETF				
	500ETF				300
	500ETF (LOF)	2016-09-26	-	14	2016 1 17
	300ETF				2014 4 1
					2016 1 25
					2018 4 25
					500
					2014 4 1

					500			
	300ETF						(LOF)	
					2014	4	1	
	300				300			
								2015
	ETF				8	20		
					300			
								2016
	ETF				1	18		
					300			
							2016	1 25
	ETF						300	
								2016 1 25
	ETF							
					2016	1	25	
	ETF							
								2016
					8	30		
	QDII-LOF				2016	9	26	
								2017
					1	25		
							2017	4 25
	(QDII)							
							2017	5 25
	100							2017
	QDII				8	4		
	100ETF				2018	4	26	
							100	
	QDII						2018	8 6
								100
	QDII				2018	8	6	
								2018 8

	QDII				6			
					QDII-LOF			2018
					8 6			
					(LOF)		2018	8
					6			
							2018	8 6
								2018
					8 6			
					2018	8 6		

2.

4.2

4.3

4.3.1

4.3.2

5%

1

ETF

ETF

2

3

A
2.65%

2.77% C

2.80%

§

5.1

		()	(%)
1		2,161,754.88	1.46
		2,161,754.88	1.46
2		133,172,916.28	90.21
3		-	-
		-	-
		-	-
4		-	-
5		-	-

A		-	-
B		-	-
C		2,019,827.88	1.39
D		-	-
E		-	-
F		-	-
G		-	-
H		-	-
I		139,470.00	0.10
J		-	-
K		-	-
L		-	-
M		2,457.00	0.00
N		-	-
O		-	-
P		-	-
Q		-	-
R		-	-
S		-	-
		2,161,754.88	1.48

5.4

			()	()	()
1	601989		38,200	162,350.00	0.11
2	000768		8,900	141,332.00	0.10
3	002179		2,600	114,400.00	0.08
4	600893		4,200	101,052.00	0.07
5	600482		4,200	97,314.00	0.07

6	002465		10,300	90,640.00	0.06
7	600760		2,300	87,630.00	0.06
8	002049		2,300	86,710.00	0.06
9	002013		9,300	78,120.00	0.05
10	600879		10,200	69,462.00	0.05

5.5

5.6

5.7

5.8

5.9

5.10

1

		()
1		22,816.06
2		61,188.52
3		-
4		1,923.77
5		1,547,173.56
6		-
7		-
8		131,880.07
9		1,764,981.98

5.12.4

5.12.5

§6

	ETF A	ETF C
	146,629,667.33	33,592,486.91
	44,908,148.71	72,507,578.98
	33,873,075.55	55,983,427.68
	-	-
	157,664,740.49	50,116,638.21

§7

7.1

	10,000,400.04
/	-
/	-
	10,000,400.04
%	4.81

7.2

§8

	10,000,40 0.04	4.81%	10,000,40 0.04	4.81%	
	-	-	-	-	-
	415.82	0.00%	-	-	-
	-	-	-	-	-
	-	-	-	-	-
	10,000,81 5.86	4.81%	10,000,40 0.04	4.81%	

§9

9.1

9.2

1